

MEMORANDUM

DATE: February 22, 2023

TO: Governor's Office of Budget, Planning and Policy
Legislative Budget Board

FROM: Natalie Ramello, Vice President, Chief Compliance Officer, and Interim Chief Audit Executive, Office of Institutional Compliance & Audit Services

SUBJECT: 22:27 IT General Controls Review
Issued to SAO: February 22, 2023

The Office of Internal Audit Services completed its IT General Controls Review in quarter 1 of Fiscal Year 2023, this audit was originally part of the Fiscal Year 2022 Audit Plan and was carried forward to the Fiscal Year 2023 Audit Plan.

The primary objective of this audit was to evaluate IT general controls for systems managed outside of the Information Resources centralized group, including security access, configuration change management, and data backup, for selected systems across the business, academic, health, and institutional advancement areas. This audit also included an assessment of compliance with key Texas Administrative Code (TAC) 202 requirements.

The report contains confidential information that relates to IT controls and is not subject to the disclosure requirements of the Texas Public Information Act, based on the exception found in Government Code §552.139. Specific results were communicated to the appropriate management and Audit Committee members.